



**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 35.C15505

First Named Inventor or Application Identifier

ATSUSHI OKUYAMA, ET AL.

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- | | |
|--|---|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form
(Submit an original, and a duplicate for fee processing) | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) |
| 2. <input type="checkbox"/> Applicant claims small entity status.
See 37 CFR 1.27. | 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary) |
| 3. <input checked="" type="checkbox"/> Specification Total Pages 44 | a. <input type="checkbox"/> Computer Readable Form (CRF) |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) Total Sheets 9 | b. Specification Sequence Listing on: |
| 5. <input type="checkbox"/> Oath or Declaration Total Pages _____ | i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or |
| a. <input type="checkbox"/> Newly executed (original or copy) | ii. <input type="checkbox"/> paper |
| b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
<i>[Note Box 6 below]</i> | c. <input type="checkbox"/> Statements verifying identity of above copies |
| i. <input type="checkbox"/> DELETION OF INVENTOR(S)
Signed Statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | ACCOMPANYING APPLICATION PARTS |
| 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) |
| | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement
(when there is an assignee) <input type="checkbox"/> Power of Attorney |
| | 11. <input type="checkbox"/> English Translation Document (if applicable) |
| | 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations |
| | 13. <input type="checkbox"/> Preliminary Amendment |
| | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)
(Should be specifically itemized) |
| | 15. <input type="checkbox"/> Certified Copy of Priority Document(s)
(if foreign priority is claimed) |
| | 16. <input type="checkbox"/> Other: _____ |

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP) of prior application No. / _____
Prior application information: Examiner _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	05514 (Insert Customer No. or Attach bar code label here)	<input type="checkbox"/> Correspondence address below
NAME		
Address		
City	State	Zip Code
Country	Telephone	Fax

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	21-20 =	1	X \$ 18.00 =	\$ 18.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	4-3 =	1	X \$ 80.00 =	\$ 80.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$270.00 =	\$ 270.00
				BASIC FEE (37 CFR 1.16(a))	\$ 710.00
				Total of above Calculations =	\$ 1078.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				
				TOTAL =	\$ 1078.00

19. Small entity status

- a. A small entity statement is enclosed
- b. A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. Is no longer claimed.

20. A check in the amount of \$ 1078.00 to cover the filing fee is enclosed.

21. A check in the amount of \$ _____ to cover the recordal fee is enclosed.

22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

- a. Fees required under 37 CFR 1.16.
- b. Fees required under 37 CFR 1.17.
- c. Fees required under 37 CFR 1.18.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

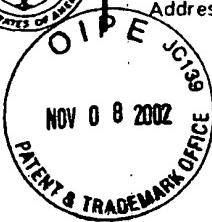
NAME	Scott D. Malpede - Reg. No. 32,533
SIGNATURE	
DATE	April 26, 2001

SDM\cmv



MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

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Page	8

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PATENT APPLICATION refund

02-DS-03



IN THE U.S. PATENT AND TRADEMARK OFFICE

In re Application of:

ATSUSHI OKUYAMA ET AL.

Appln. No.: 09/842,124

Filed: April 26, 2001

For: IMAGE DISPLAY APPARATUS
AND OPTICAL SYSTEM

Examiner: M. Koval

Group Art Unit: 2851

November 8, 2002

Commissioner of Patents and Trademarks
Washington, D.C. 20231

REQUEST FOR REFUND

Sir:

In connection with the above-identified application, Applicant requests a refund of \$288.00 for Claims Fees in excess of 20, which was charged to our Deposit Account. It is requested that the refund be applied as a credit to our Deposit Account No.

06-1205.

On April 26, 2001, as indicated on the attached Transmittal Sheet, the Applicant forwarded a check in the amount of \$1,078.00 to cover the Basic Filing fee, additional claims fees and multiple dependent claims fees. Also enclosed is a copy of the postcard acknowledging receipt of the check by the Patent and Trademark Office.

Applicant's attorney received the Monthly Statement of Deposit Account, dated June 29, 2001, copy attached, indicating that Deposit Account No. 06-1205 was charged \$288.00.

Accordingly, Applicants hereby request and authorize the Commissioner to credit Deposit Account No. 06-1205 in the amount of \$288.00, in order to resolve this matter.

Applicants' undersigned agent may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our below listed address.

Respectfully submitted,

Carl B. Wissner
Attorney for Applicants

Registration No.: 43,279

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